

08/17/01

08-20-01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 130778-M200
First Inventor 130778-M200
Title A Fluid Treatment Device and Counter Mechanism
Express Mail Label No. EL432723862US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information.

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

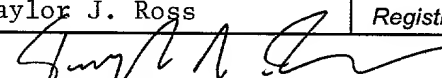
☒ Customer Number or Bar Code Label

20094

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Dart Industries Inc.				
Address	P.O. Box 779001				
City	Orlando	State	Florida	Zip Code	32877
Country	USA	Telephone	407-846-8817	Fax	407-826-4422

Name (Print/Type)	Taylor J. Ross	Registration No. (Attorney/Agent)	33,342
Signature			Date 8/17/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

Patent Fees are subject to annual revision on October 1

Application Number	Unk.
Filing Date	Herewith
Inventor/Identifier	130788-M200
Examiner	Unk.
Group/Art Unit	Unk.
Atty. Docket No.	130778-M200

TOTAL AMOUNT OF PAYMENT \$ **\$690.00**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any overpayment to:

Deposit Account Number	04-0120
Deposit Account Name	Dart Industries Inc.
<input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17	<input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Fee Code	Fee Description	Fee Paid
101	Utility Filing Fee	
106	Design Filing Fee	\$690.00
107	Plant Filing Fee	
108	Reissue Filing Fee	
114	Provisional Filing Fee	
SUBTOTAL (1)		\$690.00

2. EXTRA CLAIM FEES

Total Claims	- 20 =	x fee=
Independent Claims	- 3 =	x fee=
Multiple Dependent		

Fee Code	Fee Description
103	Claims in excess of 20
102	Independent claims in excess of 3
104	Multiple dependent claim, first presented
109	Reissue indep. Claims over original
110	Reissue claims over 20 and original
110	Reissue claims over 20 and original

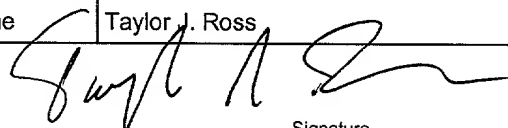

SUBTOTAL (2) \$ **\$0.00**

FEE CALCULATION (continued)

Fee Code	Fee Description	Fee Paid
105	Surcharge- late filing fee or oath	
127	Surcharge- late provisional filing fee or cover sheet	
139	Non-English Specification	
147	For filing a request for reexamination	
112	Requesting a publication of SIR prior to Examiner action	
113	Requesting a publication of SIR after Examiner action	
115	Extension for reply within first month	
116	Extension for reply within second month	
117	Extension for reply within third month	
118	Extension for reply within fourth month	
128	Extension for reply within fifth month	
119	Notice of Appeal	
120	Filing a brief in support of an appeal	
121	Request for oral hearing	
138	Petition to institute a public use proceeding	
140	Petition to revive - unavoidable	
141	Petition to revive - unintentional	
142	Utility issue fee (or reissue)	
143	Design issue fee	
144	Plant issue fee	
122	Petitions to the Commissioner	
123	Petitions related to provisional applications	
126	Submission of Information Disclosure Stmt	
581	Recording each patent assignment per property (times number of properties)	
146	Filing a submission after final rejection	
149	For each additional invention to be examined	
Other		
Other		

SUBTOTAL (3) \$

SUBMITTED BY

Name	Taylor J. Ross	Reg. No.	33,342
			
Signature		Date	